

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4315243
<b>Application Number:</b>	10726851
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5641
<b>Title of Invention:</b>	Method and system for providing option spread indicative quotes
<b>First Named Inventor/Applicant Name:</b>	Neal Brady
<b>Customer Number:</b>	49664
<b>Filer:</b>	James L. Katz/David Fitzgerald
<b>Filer Authorized By:</b>	James L. Katz
<b>Attorney Docket Number:</b>	4672/369
<b>Receipt Date:</b>	21-NOV-2008
<b>Filing Date:</b>	02-DEC-2003
<b>Time Stamp:</b>	15:33:23
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$810
RAM confirmation Number	920
Deposit Account	231925
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	4672_369_Transmittal_Prelim_Amendment_and_RCE.pdf	84928 89e556c38f3fd86e0054da32422ee63e7cb3d7a	no	1

### Warnings:

### Information:

2		4672_369_response_to_accompany_RCE.pdf	188630 db577177923373aa3ed769b22ac4ba7d37d486a5	yes	15
---	--	--	--	-----	----

### Multipart Description/PDF files in .zip description

	Document Description	Start	End
	Preliminary Amendment	1	1
	Claims	2	7
	Applicant Arguments/Remarks Made in an Amendment	8	15

### Warnings:

### Information:

3	Request for Continued Examination (RCE)	4672_369_RCE.pdf	114832 15aaa6fb5223d18e357ba508472a6d0d3bf0705c	no	2
---	---	------------------	--	----	---

### Warnings:

This is not a USPTO supplied RCE SB30 form.

### Information:

4	Fee Worksheet (PTO-06)	fee-info.pdf	30113 404a3d7c075adff67a7d9a63253f3dfb216c1b69	no	2
---	------------------------	--------------	---	----	---

### Warnings:

### Information:

**Total Files Size (in bytes):** 418503

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**